



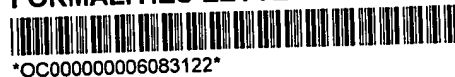
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20590
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/827,834	04/06/2001	Robert Lawrence Prosise	8043M

CONFIRMATION NO. 6353

FORMALITIES LETTER



OC00000006083122

James F. Mc Bride
The Procter & Gamble Company
Winton Hill Technical Center
6071 Center Hill Avenue
Cincinnati, OH 45224

Date Mailed: 05/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

ew

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/02/2001 MAHMED1 00000027 162480 09827834

02 FC:105 130.00 CH

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on July 25, 2001.

Name of Attorney/Agent	James F. McBride	Registration No.	43,784
Signature of Attorney/Agent			



P&G Case 8043M

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
Jeffrey John Kester, et al. :
Serial No. 09/827,834 :
Confirmation No.: 6353
Filed April 6, 2001 :
For: COMPOSITIONS FOR REDUCING HYPERCHOLESTEROLEMIA AND
CONTROLLING OF POSTPRANDIAL BLOOD GLUCOSE AND INSULIN LEVELS

COMPLETION OF FILING REQUIREMENTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

[X] This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed May 16, 2001. A copy of the Notice to File Missing Parts of Application-Filing Date Granted (Form PTO-1533) is enclosed.

[X] No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

[X] The Commissioner is hereby authorized to charge payment of the surcharge set forth in 37 CFR §1.16(e) to Deposit Account No. 16-2480. The Commissioner is also hereby authorized to charge payment of any patent application processing fees under 37 C.F.R. §§1.16 and 1.17 associated with this communication or credit any over-payment to Deposit Account No. 16-2480. A duplicate copy of this sheet is attached.

[X] The Commissioner is also hereby petitioned under 37 C.F.R. 1.136(a) to grant any extension of time needed for timely response to the Notice to File Missing Parts to preserve the pendency of the above-identified application. The processing fee under 37 C.F.R. §1.136(a) is as follows:

[X] \$110.00 for one-month extension of time

08/02/2001 MAHME1 00000027 162480 09827834

01 FC:115 110.00 CH

The Commissioner is hereby authorized to make any additional copies of this sheet needed to accomplish the purposes provided for herein and to charge any fee for such copies to Deposit Account No. 16-2480.

Respectfully submitted,

By 

James F. Mc Bride
Attorney for Applicant(s)
Registration No. 43,784
(513) 634-1402

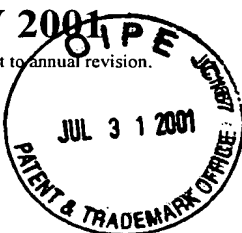
July 25, 2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

FEE TRANSMITTAL

for FY 2001

Patent fees are subject to annual revision.



Complete if Known

Application Number	09/827,834
Confirmation Number	6353
Filing Date	April 6, 2001
First Named Inventor	Jeffrey John Kester, et al.
Examiner Name	Unknown
Group/Art Unit	1761
Attorney Docket No.	8043M

TOTAL AMOUNT OF PAYMENT (\$240.00)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 16-2480

Deposit Account Name The Procter & Gamble Company

☒ Charge Any Additional Fee Required Under status. See 37 CFR §127 37 C.F.R. §§1.16 and 1.17

FEE CALCULATION

1. BASIC FILING FEE – Large Entity

Code (\$)	Fee Description	Fee Paid
101 710	Utility filing fee	<input type="checkbox"/>
106 320	Design filing fee	<input type="checkbox"/>
107 490	Plant filing fee	<input type="checkbox"/>
108 710	Reissue filing fee	<input type="checkbox"/>
114 150	Provisional filing fee	<input type="checkbox"/>

SUBTOTAL (1) (\$)||

2. EXTRA CLAIM FEES – Large Entity

	Extra Claims	Below Fee	Fee Paid
Total Claims	<input type="checkbox"/> - 20** = <input type="checkbox"/> x	<input type="checkbox"/> =	<input type="checkbox"/>
Independent Claims	<input type="checkbox"/> - 3** = <input type="checkbox"/> x	<input type="checkbox"/> =	<input type="checkbox"/>
Multiple Dependent		<input type="checkbox"/> =	<input type="checkbox"/>

** or number previously paid, if greater; For Reissues, see below

Code (\$)	Fee Description
103 18	Claims in excess of 20
102 80	Independent claims in excess of 3
104 270	Multiple dependent claim, if not paid
109 80	**Reissue independent claims over original patent
110 18	**Reissue claims in excess of 20 & over original patent

SUBTOTAL (2) (\$)||

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Code (\$)	Fee Description	Fee Paid
105 130	Surcharge-late filing fee or oath	<input checked="" type="checkbox"/>
127 50	Surcharge-late provisional filing fee or cover sheet	<input type="checkbox"/>
139 130	Non-English specification	<input type="checkbox"/>
147 2,520	For filing a request for <i>ex parte</i> reexamination	<input type="checkbox"/>
112 920*	Requesting publication of SIR prior to Examiner's action	<input type="checkbox"/>
113 1,840*	Requesting publication of SIR after Examiner's action	<input type="checkbox"/>
115 110	Extension for reply within 1 st month	<input checked="" type="checkbox"/>
116 390	Extension for reply within 2 nd month	<input type="checkbox"/>
117 890	Extension for reply within 3 rd month	<input type="checkbox"/>
118 1,390	Extension for reply within 4 th month	<input type="checkbox"/>
128 1,890	Extension for reply within 5 th month	<input type="checkbox"/>
119 310	Notice of Appeal	<input type="checkbox"/>
120 310	Filing a brief in support of an appeal	<input type="checkbox"/>
121 270	Request for oral hearing	<input type="checkbox"/>
138 1,510	Petition to institute a public use proceeding	<input type="checkbox"/>
140 110	Petition to revive - unavoidable	<input type="checkbox"/>
141 1,240	Petition to revive - unintentional	<input type="checkbox"/>
142 1,240	Utility issue fee (or reissue)	<input type="checkbox"/>
143 440	Design issue fee	<input type="checkbox"/>
144 600	Plant issue fee	<input type="checkbox"/>
122 130	Petitions to the Commissioner	<input type="checkbox"/>
123 50	Petitions related to provisional applications	<input type="checkbox"/>
126 180	Submission of Information Disclosure Statement	<input type="checkbox"/>
146 710	Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="checkbox"/>
149 710	For each additional invention to be examined (37 CFR §1.129(b))	<input type="checkbox"/>
179 710	Request for Continued Examination (RCE)	<input type="checkbox"/>
169 710	Request for expedited examination of a design application	<input type="checkbox"/>
	Other fee (specify) _____	<input type="checkbox"/>
	Other fee (specify) _____	<input type="checkbox"/>

* Reduced by Basic Filing Fee Paid SUBTOTAL(3) (\$) [240]

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	James F. Mc Bride	Registration No. (Attorney/Agent)	43,784	Telephone	(513) 634-1402
Signature				Date	July 25, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231.